

Learning Distributions of Image Features by Interactive Fuzzy Lattice Reasoning in Pattern Recognition Applications

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Abstract

This paper describes the recognition of image patterns based on novel representation learning techniques by considering higher-level (meta-)representations of numerical data in a mathematical lattice. In particular, the interest here focuses on lattices of (Type-1) Intervals' Numbers (INs), where an IN represents a distribution of image features including orthogonal moments. A neural classifier, namely fuzzy lattice reasoning (flr) fuzzy-ARTMAP (FAM), or flrFAM for short, is described for learning distributions of INs; hence, Type-2 INs emerge. Four benchmark image pattern recognition applications are demonstrated. The results obtained by the proposed techniques compare well with the results obtained by alternative methods from the literature. Furthermore, due to the isomorphism between the lattice of INs and the lattice of fuzzy numbers, the proposed techniques are straightforward applicable to Type-1 and/or Type-2 fuzzy systems. The far-reaching potential for deep learning in big data applications is also discussed.

Index Terms – Computer vision, fuzzy lattice reasoning, intervals' number, Jaccard similarity measure, type-2 fuzzy set

1

I. INTRODUCTION

2 Over the past decades, traditional computational intelligence has faced bottlenecks regarding algorithmic
3 learning. In particular, one bottleneck has been the (slow) learning speed mainly due to gradient-based
4 algorithms employed in the N -dimensional Euclidean space \mathbb{R}^N . Note that due to the conventional

5 measurement procedure [12], traditional computational intelligence techniques are intimately linked to
6 the notion of “feature space” such that an object is represented by a point (i.e., a vector of numbers)
7 in \mathbb{R}^N . A vector data representation is popular mainly due to the abundance of analytical/computational
8 tools available in \mathbb{R}^N . Nevertheless, a vector data representation itself is another bottleneck since it cannot
9 represent sophisticated (data) semantics.

10 In response, on the one hand, novel techniques emerged to meet the (slow) learning speed including
11 the extreme learning machines (ELMs) [2], [10]; the latter have reported good generalization performance
12 even thousands of times faster than conventional feedforward neural networks. On the other hand, there
13 is a sustained interest in learning in non-(geo)metric spaces involving data other than vectorial ones [9].

14 Non-vectorial data such as text, images, graphs, ontologies, hierarchies, schemata, etc, have proliferated
15 with the proliferation of computers. Therefore, there is a need to deal with non-vectorial (or, equivalently,
16 nonnumerical) data representations as well. We remark that in the context of “machine learning” it is
17 accepted that the success of machine learning algorithms depends on the data representation [1]; moreover,
18 representation learning might be the crux of the matter regarding *deep learning*, i.e., induction of more
19 abstract – and ultimately more useful – data representations.

20 By departing from a vector space, one is confronted with the challenging task of defining (dis)similarity
21 between non-vector data [9]. A popular approach for dealing with nonnumerical data is by “ad-hoc”
22 transforming them to numerical ones. However, a problem with the aforementioned approach is that it
23 introduces data distortions that might result in irreversible performance deterioration. Another approach
24 for dealing with nonnumerical data is by developing domain-specific (mathematical) tools. Drawbacks of
25 the latter approach include: first, different mathematical tools need to be “ad-hoc” devised in different
26 (nonnumerical) data domains and, second, performance cannot, often, be tuned [3], [4]. Yet another
27 approach has been proposed lately based on mathematical lattice theory as explained next.

28 The premise has been that popular types of data of interest in practical applications are lattice-ordered
29 [12]. In conclusion, lattice computing, or LC for short, has been proposed as “an evolving collection of
30 tools and mathematical modeling methodologies with the capacity to process lattice-ordered data *per se*
31 including logic values, numbers, sets, symbols, graphs, etc” [6], [16], [29]. The existence of suitable real
32 functions on lattice-ordered data allows for “fine-tuning” as demonstrated in this work. An advantage of
33 LC is its capacity to rigorously compute with semantics represented by the lattice order relation. Specific
34 examples of the LC approach are described in [13]. Recent trends in LC appear in [5], [14], [16], [27].

35 In the context of LC, of special interest here are Intervals' Numbers (INs) [13], [16], [21], [22],
 36 [26]. Recall that an IN is a mathematical object which can be interpreted either probabilistically or
 37 possibilistically [21]. Similarities as well as differences between Type-1 (respectively, Type-2) INs and
 38 Type-1 (respectively, Type-2) fuzzy sets have been reported [15]. In the remaining of this work "Type-1"
 39 will be denoted by "T1", for short; likewise, "Type-2" will be denoted by "T2". Our interest here is in
 40 digital image pattern recognition applications based on INs. We also discuss a potential enhancement of
 41 ELMs.

42 This paper builds on recently published work [16], [22], [24], [26] regarding human face recognition
 43 based on INs induced from orthogonal moments features. Differences with this work are summarized
 44 next. First, this work uses a (different) flrFAM classifier based on inclusion measure functions. Second,
 45 this work also employs 3-D T2 INs such that one 3-D T2 IN is represented by a $32 \times 32 \times 4$ matrix of real
 46 numbers. Third, this work employs comparatively additional features as well as additional classifiers in
 47 additional benchmark image pattern recognition problems. Furthermore, this work presents an improved
 48 mathematical notation as well as extensions of inclusion measure functions to the space of T2 INs. In
 49 addition, this work introduces an axiomatically extended Jaccard similarity measure.

50 The paper is organized as follows. Section II summarizes a hierarchy of mathematical lattices. Section
 51 III defines a similarity measure in a general lattice; moreover, it introduces Jaccard similarity measure
 52 extensions. Section IV outlines an flrFAM classifier. Section V describes the image pattern recognition
 53 problem as well as a technique for computing 3-D T2 INs. Section VI demonstrates, comparatively, com-
 54 putational experiments; it also includes a discussion of the results. Section VII concludes by summarizing
 55 our contribution as well as by describing potential future work.

56 II. A HIERARCHY OF COMPLETE LATTICES

57 This section introduces useful mathematical tools regarding INs [12], [13], [16], based on lattice theory.

58 *Definition 2.1:* Let $(\mathbb{P}, \sqsubseteq)$ be a mathematical lattice. A function $\sigma : \mathbb{P} \times \mathbb{P} \rightarrow [0, 1]$ is called *inclusion*
 59 *measure* iff the following two properties hold.

60 **C1** $u \sqsubseteq w \Leftrightarrow \sigma(u, w) = 1.$

61 **C2** $u \sqsubseteq w \Rightarrow \sigma(x, u) \leq \sigma(x, w).$

62 We remark that an inclusion measure function $\sigma : \mathbb{P} \times \mathbb{P} \rightarrow [0, 1]$ can be interpreted as a fuzzy order
 63 relation on lattice $(\mathbb{P}, \sqsubseteq)$. Hence, the notations $\sigma(u, w)$ and $\sigma(u \sqsubseteq w)$ will be used interchangeably.

64 In the following we summarize a hierarchy of complete lattices in seven steps and define certain
65 inclusion measure functions.

66 Step-1. We assume a totally-ordered, complete lattice (\mathbb{L}, \leq) of real numbers, where $\mathbb{L} \subseteq \overline{\mathbb{R}} = \mathbb{R} \cup$
67 $\{-\infty, +\infty\}$ with least and greatest elements denoted by o and i , respectively. The corresponding inf (\wedge)
68 and sup (\vee) operators are the min and the max operators, respectively. In lattice (\mathbb{L}, \leq) we consider both
69 a strictly increasing function $v : \mathbb{L} \rightarrow [0, \infty)$, such that $v(o) = 0$ as well as $v(i) < +\infty$, and a strictly
70 decreasing function $\theta : \mathbb{L} \rightarrow \mathbb{L}$, such that $\theta(o) = i$ as well as $\theta(i) = o$.

71 Step-2. We assume the partially-ordered, complete lattice $(\mathbb{I}_1, \subseteq)$ of (T1) intervals in lattice (\mathbb{L}, \leq) . The
72 corresponding inf (\cap) and sup ($\dot{\cup}$) operations are given by $[a, b] \cap [c, d] = [a \vee c, b \wedge d]$ and $[a, b] \dot{\cup} [c, d] =$
73 $[a \wedge c, b \vee d]$, respectively. We remark that if $a \vee c \not\leq b \wedge d$ then, by definition, $[a, b] \cap [c, d]$ equals the
74 empty set (\emptyset). Two inclusion measure functions $\sigma_\cap : \mathbb{I}_1 \times \mathbb{I}_1 \rightarrow [0, 1]$ and $\sigma_{\dot{\cup}} : \mathbb{I}_1 \times \mathbb{I}_1 \rightarrow [0, 1]$ are given
75 in lattice $(\mathbb{I}_1, \subseteq)$ based on a length function $V : \mathbb{I}_1 \rightarrow [0, \infty)$ as follows.

$$\sigma_\cap(x, y) = \begin{cases} 1, & \text{for } x = \emptyset. \\ \frac{V(x \cap y)}{V(x)}, & \text{for } x \supset \emptyset. \end{cases} \quad (1)$$

$$\sigma_{\dot{\cup}}(x, y) = \begin{cases} 1, & \text{for } x \dot{\cup} y = \emptyset. \\ \frac{V(y)}{V(x \dot{\cup} y)}, & \text{for } x \dot{\cup} y \supset \emptyset. \end{cases} \quad (2)$$

76 Recall that a length function $V : \mathbb{I}_1 \rightarrow [0, \infty)$ is defined as

$$V(x = [a_1, a_2]) = \begin{cases} 0, & x = \emptyset \\ v(\theta(a_1)) + v(a_2), & x \supset \emptyset \end{cases},$$

77 where functions $v(\cdot)$ and $\theta(\cdot)$ are as in Step-1.

78 Step-3. We assume the partially-ordered, complete lattice $(\mathbb{I}_2, \subseteq)$ of T2 intervals in lattice $(\mathbb{I}_1, \subseteq)$ –
79 Recall that a T2 interval is defined as an interval of T1 intervals. The corresponding inf (\cap) and sup
80 ($\dot{\cup}$) operations are given by $[[a_1, a_2], [b_1, b_2]] \cap [[c_1, c_2], [d_1, d_2]] = [[a_1 \wedge c_1, a_2 \vee c_2], [b_1 \vee d_1, b_2 \wedge d_2]]$, and
81 $[[a_1, a_2], [b_1, b_2]] \dot{\cup} [[c_1, c_2], [d_1, d_2]] = [[a_1 \vee c_1, a_2 \wedge c_2], [b_1 \wedge d_1, b_2 \vee d_2]]$, respectively. We remark that if
82 $[a_1 \wedge c_1, a_2 \vee c_2] \not\subseteq [b_1 \vee d_1, b_2 \wedge d_2]$ then, by definition, $[[a_1, a_2], [b_1, b_2]] \cap [[c_1, c_2], [d_1, d_2]]$ equals the empty
83 set (\emptyset). Two inclusion measure functions $\sigma_\cap : \mathbb{I}_2 \times \mathbb{I}_2 \rightarrow [0, 1]$ and $\sigma_{\dot{\cup}} : \mathbb{I}_2 \times \mathbb{I}_2 \rightarrow [0, 1]$ are given in lattice
84 $(\mathbb{I}_2, \subseteq)$ based on a length function $V : \mathbb{I}_2 \rightarrow [0, \infty)$ as follows.

$$\sigma_{\cap} ([[a_1, a_2], [b_1, b_2]] \subseteq [[c_1, c_2], [d_1, d_2]]) = \begin{cases} 1, & b_1 > b_2. \\ 0, & b_1 \leq b_2, b_1 \vee d_1 > b_2 \wedge d_2. \\ 0, & b_1 \leq b_2, b_1 \vee d_1 \leq b_2 \wedge d_2, \\ & [a_1 \wedge c_1, a_2 \vee c_2] \not\subseteq [b_1 \vee d_1, b_2 \wedge d_2]. \\ \frac{V([[a_1, a_2], [b_1, b_2]] \cap [[c_1, c_2], [d_1, d_2]])}{V([[a_1, a_2], [b_1, b_2]])}, & \text{otherwise.} \end{cases} \quad (3)$$

$$\sigma_{\cup} ([[a_1, a_2], [b_1, b_2]] \subseteq [[c_1, c_2], [d_1, d_2]]) = \begin{cases} 1, & b_1 > b_2. \\ 0, & b_1 \leq b_2, d_1 > d_2. \\ \frac{V([[c_1, c_2], [d_1, d_2]])}{V([[a_1, a_2], [b_1, b_2]] \cup [[c_1, c_2], [d_1, d_2]])}, & \text{otherwise.} \end{cases} \quad (4)$$

85 Recall that a length function $V : \mathbb{I}_2 \rightarrow [0, \infty)$ is defined as

$$V(x = [[a_1, a_2], [b_1, b_2]]) = \begin{cases} 0, & x = \emptyset. \\ v(a_1) + v(\theta(a_2)) + v(\theta(b_1)) + v(b_2), & x \supset \emptyset. \end{cases}$$

86 where functions $v(\cdot)$ and $\theta(\cdot)$ are as in Step-1.

87 Step-4. We assume the partially-ordered lattice (\mathbb{F}_1, \preceq) of (T1) Intervals' Numbers, or (T1) INs for short.

88 Recall that an IN is defined as a function $F : [0, 1] \rightarrow \mathbb{I}_1$ that satisfies both $h_1 \leq h_2 \Rightarrow F_{h_1} \supseteq F_{h_2}$ and

89 $\forall X \subseteq [0, 1] : \cap_{h \in X} F_h = F_{\bigvee X}$. In particular, an ‘‘interval (T1) IN F ’’ is defined such that $F_h = [a, b], \forall h \in$

90 $[0, 1]$; in other words, the aforementioned interval (T1) IN $F \in \mathbb{F}_1$ represents the interval $[a, b] \in \mathbb{I}_1$. An

91 IN is interpreted as an information granule [12]. An IN F can equivalently be represented either by a set

92 of intervals $F_h, h \in [0, 1]$ (an IN's *interval-representation*), or by a function $F(x) = \bigvee_{h \in [0, 1]} \{h : x \in F_h\}$

93 (an IN's *membership-function-representation*). For $F, G \in \mathbb{F}_1$ we have

$$F \preceq G \Leftrightarrow (\forall h \in [0, 1] : F_h \subseteq G_h) \Leftrightarrow (\forall x \in \mathbb{L} : F(x) \leq G(x)). \quad (5)$$

94 The height $hgt(F)$ of an IN F is defined as the supremum of its membership function values, i.e.,

95 $hgt(F) = \bigvee_{x \in \mathbb{L}} F(x)$. The corresponding inf (\wedge) and sup (\vee) operations in lattice (\mathbb{F}_1, \preceq) are given by

96 $(F \wedge G)_h = F_h \cap G_h$ and $(F \vee G)_h = F_h \cup G_h$, respectively, for $h \in [0, 1]$. Next, we define two inclusion

97 measure functions $\sigma_{\wedge} : \mathbb{F}_1 \times \mathbb{F}_1 \rightarrow [0, 1]$ and $\sigma_{\vee} : \mathbb{F}_1 \times \mathbb{F}_1 \rightarrow [0, 1]$ based on the inclusion measure

98 functions $\sigma_{\cap} : \mathbb{I}_1 \times \mathbb{I}_1 \rightarrow [0, 1]$ and $\sigma_{\cup} : \mathbb{I}_1 \times \mathbb{I}_1 \rightarrow [0, 1]$, respectively.

$$\sigma_{\wedge}(E, F) = \int_0^1 \sigma_{\cap}(E_h, F_h) dh. \quad (6)$$

$$\sigma_{\vee}(E, F) = \int_0^1 \sigma_{\cup}(E_h, F_h) dh. \quad (7)$$

99 Specific advantages of an inclusion measure function in a Fuzzy Inference System (FIS) context have
100 been reported [13].

101 Step-5. We assume the partially-ordered, complete lattice (\mathbb{F}_2, \preceq) of T2 INs – Recall that a T2 IN is
102 defined as an interval of T1 INs; that is, a T2 IN by definition equals $[U, W] \doteq \{X \in \mathbb{F}_1: U \preceq X \preceq W\}$,
103 where U is called lower IN, and W is called upper IN (of the T2 IN $[U, W]$). In the latter sense we say
104 that X is encoded in $[U, W]$. The corresponding inf (\wedge) and sup (\vee) operations in lattice (\mathbb{F}_2, \preceq) are
105 given by $(F \wedge G)_h = F_h \cap G_h$ and $(F \vee G)_h = F_h \cup G_h$, respectively. We can define two inclusion measure
106 functions $\sigma_{\wedge} : \mathbb{F}_2 \times \mathbb{F}_2 \rightarrow [0, 1]$ and $\sigma_{\vee} : \mathbb{F}_2 \times \mathbb{F}_2 \rightarrow [0, 1]$, based on the inclusion measure functions
107 $\sigma_{\cap} : \mathbb{I}_2 \times \mathbb{I}_2 \rightarrow [0, 1]$ and $\sigma_{\cup} : \mathbb{I}_2 \times \mathbb{I}_2 \rightarrow [0, 1]$, using equations (6) and (7), respectively. The computation
108 of the join and meet operations in the lattice (\mathbb{F}_2, \preceq) is demonstrated next.

109 Consider the two T2 INs $[f, F]$ and $[g, G]$ shown in Fig.1(a), where $f, F, g, G \in \mathbb{F}_1$ such that $f \preceq F$ and
110 $g \preceq G$. The (join) T2 IN $[f, F] \vee [g, G] = [f \wedge g, F \vee G]$ is shown in Fig.1(b), where $(f \wedge g)_h = \emptyset, \forall h \in$
111 $(h_1, 1]$. Fig.1(c) shows the (meet) T2 IN $[f, F] \wedge [g, G] = [f \vee g, F \wedge G]$, where $(f \vee g)_h = \emptyset, \forall h \in (h_3, 1]$,
112 moreover $(F \wedge G)_h = \emptyset, \forall h \in (h_4, 1]$.

113 Step-6. The T1/T2 INs above have 2-dimensional (2-D) function representations, which can be extended
114 to 3-dimensional (3-D) as follows. A 3-D T1 (respectively, T2) IN is defined as a function $F : [0, 1] \rightarrow \mathbb{F}$,
115 where $\mathbb{F} = \mathbb{F}_1$ (respectively, $\mathbb{F} = \mathbb{F}_2$), which satisfies $z_1 \leq z_2 \Rightarrow F_{z_1} \succeq F_{z_2}$. In other words, a 3-D T1
116 (respectively, T2) IN F has 3-dimensional function representation F_z such that for constant $z = z_0$ the
117 F_{z_0} , namely zSlice, is a 2-D T1 (respectively, T2) IN. A 3-D T2 IN example is plotted below. The symbol
118 \mathbb{F}_g denotes either the set of 3-D T1 INs or the set of 3-D T2 INs. It turns out that (\mathbb{F}_g, \preceq) is a lattice
119 whose order is $E \preceq F \Leftrightarrow E_z \preceq F_z, \forall z \in [0, 1]$. An inclusion measure function $\sigma_{\mathbb{F}_g} : \mathbb{F}_g \times \mathbb{F}_g \rightarrow [0, 1]$ is
120 defined as

$$\sigma_{\mathbb{F}_g}(E, F) = \int_0^1 \int_0^1 \sigma_{\mathbb{I}}((E_z)_h, (F_z)_h) dh dz, \quad (8)$$

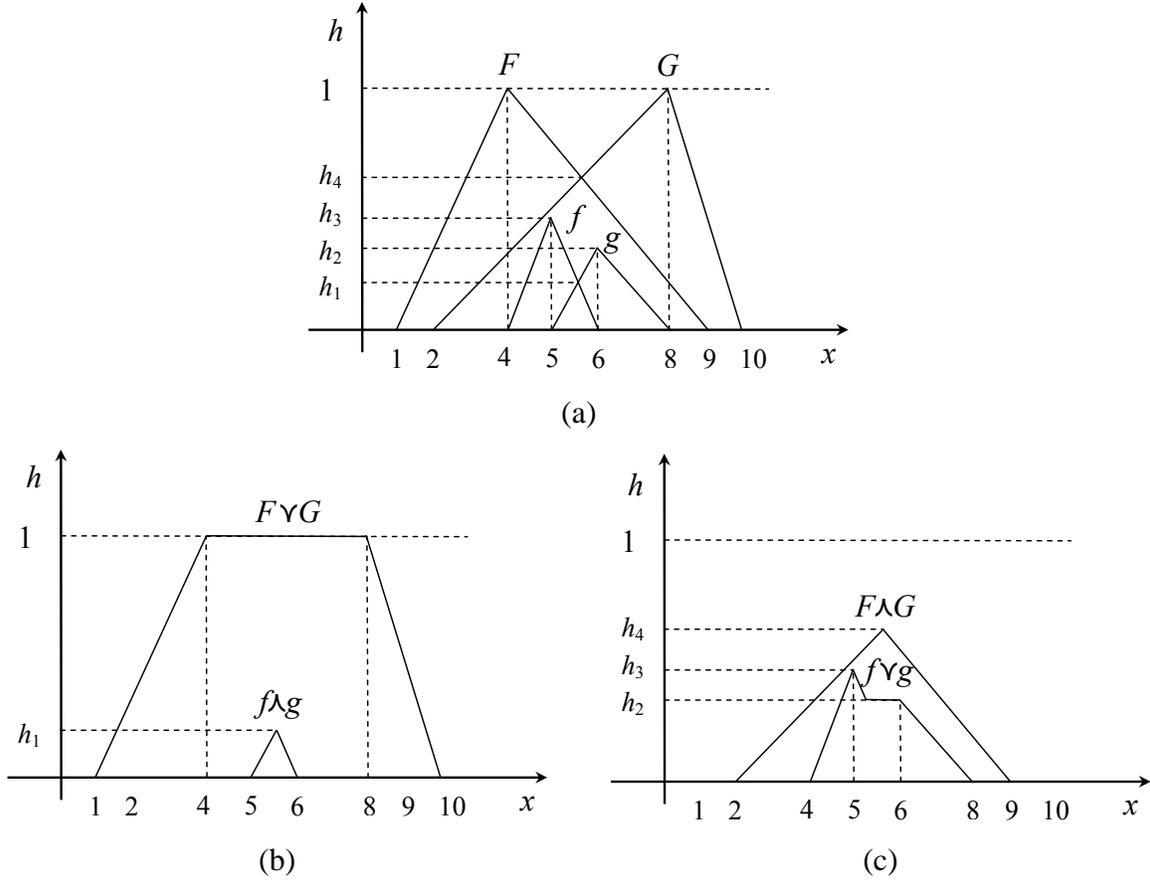


Fig. 1. (a) T2 INs $[f, F]$ and $[g, G]$, where $f, F, g, G \in \mathbb{F}_1$ such that $f \preceq F$ and $g \preceq G$. (b) The (join) T2 IN $[f, F] \vee [g, G] = [f \wedge g, F \vee G]$. (c) The (meet) T2 IN $[f, F] \wedge [g, G] = [f \vee g, F \wedge G]$.

121 where $\sigma_{\mathbb{I}}(\cdot, \cdot)$ may be given by any one of the equations (1), (2), (3) and (4).

122 Step-7. We assume N -tuples of T1/T2 INs, where one N -tuple T1/T2 IN will be indicated by a boldface
 123 symbol, e.g. $\mathbf{X} = (X_1, \dots, X_N)$. Given non-negative numbers $\lambda_1, \dots, \lambda_N$ such that $\lambda_1 + \dots + \lambda_N = 1$, an
 124 inclusion measure is defined in the complete lattice of N -tuple INs by the following convex combination

$$\sigma_c((X_1, \dots, X_N), (Y_1, \dots, Y_N)) = \sum_{i=1}^N \lambda_i \sigma_i(X_i, Y_i). \quad (9)$$

125

III. SIMILARITY MEASURES ON LATTICES

126 Various definitions for (dis)similarity have been proposed in the literature in various data domains [3],
 127 [4], [25] without consensus. Motivated by a popular definition of similarity between fuzzy sets [25], we
 128 propose the following definition in a mathematical lattice.

129 *Definition 3.1:* Let $(\mathbb{P}, \sqsubseteq)$ be a mathematical lattice. A function $s : \mathbb{P} \times \mathbb{P} \rightarrow [0, 1]$ is called *similarity*
 130 *measure* iff the following three properties hold.

131 **S1** $u = w \Leftrightarrow s(u, w) = 1$.

132 **S2** $s(u, w) = s(w, u)$.

133 **S3** $u \sqsubseteq v \sqsubseteq w \Rightarrow s(u, v) \geq s(u, w) \leq s(v, w)$.

134 Let $(\mathbb{P}, \sqsubseteq) = (\mathbb{P}_1, \sqsubseteq_1) \times \cdots \times (\mathbb{P}_N, \sqsubseteq_N) = (\mathbb{P}_1 \times \cdots \times \mathbb{P}_N, \sqsubseteq_1 \times \cdots \times \sqsubseteq_N)$ be the Cartesian
 135 product of N lattices; let function $s_i : \mathbb{P}_i \times \mathbb{P}_i \rightarrow [0, 1]$ be a similarity measure on lattice $(\mathbb{P}_i, \sqsubseteq)$,
 136 $i \in \{1, \dots, N\}$; let $\lambda_1, \dots, \lambda_N$ be non-negative numbers such that $\lambda_1 + \cdots + \lambda_N = 1$. Then, as it
 137 will formally be proven elsewhere, the function $s : \mathbb{P} \times \mathbb{P} \rightarrow [0, 1]$ given by the convex combination
 138 $s((U_1, \dots, U_N), (W_1, \dots, W_N)) = \lambda_1 s_1(U_1, W_1) + \cdots + \lambda_N s_N(U_N, W_N)$ is a similarity measure.

139 A. Jaccard Similarity Measure Extensions

140 Even though a number of similarity measures from the literature do not satisfy all the properties of
 141 Definition 3.1, the popular *Jaccard similarity measure* (or, equivalently, Jaccard coefficient) given by $\frac{|A \cap B|}{|A \cup B|}$
 142 does satisfy them all. Next, we propose a parametric extension of the Jaccard similarity measure.

143 Let (\mathbb{I}, \subseteq) be the complete lattice of either T1 intervals (i.e., $\mathbb{I} = \mathbb{I}_1$) or T2 intervals (i.e., $\mathbb{I} = \mathbb{I}_2$), and
 144 let $V : \mathbb{I} \rightarrow [0, \infty)$ be a length function on \mathbb{I} . Then, as it will formally be proven elsewhere, the function
 145 $J_{\mathbb{I}} : \mathbb{I} \times \mathbb{I} \rightarrow [0, 1]$ given by $J_{\mathbb{I}}(A, B) = \frac{V(A \cap B)}{V(A \cup B)}$, where $A \neq \emptyset$, is a similarity measure, namely extended
 146 Jaccard similarity measure. For non-overlapping intervals A and B , $J_{\mathbb{I}}(A, B)$ equals zero and vice versa.
 147 We extend $J_{\mathbb{I}}(\cdot, \cdot)$ to the complete lattice (\mathbb{F}, \preceq) of T1/T2 INs.

148 Let (\mathbb{F}, \preceq) be the complete lattice of either T1 INs (i.e., $\mathbb{F} = \mathbb{F}_1$) or T2 INs (i.e., $\mathbb{F} = \mathbb{F}_2$), and let
 149 $V : \mathbb{I} \rightarrow [0, \infty)$ be a length function on the corresponding lattice (\mathbb{I}, \subseteq) of intervals. Then, as it will formally
 150 be proven elsewhere for INs with continuous membership functions, the function $J_{\mathbb{F}} : \mathbb{F} \times \mathbb{F} \rightarrow [0, 1]$ given
 151 by $J_{\mathbb{F}}(A, B) = \int_0^1 J_{\mathbb{I}}(A_h, B_h) dh$ is a similarity measure.

152 Similarity measures can further be extended to 3-D T2 INs:

$$J_{\mathbb{F}_g}(E, F) = \int_0^1 \int_0^1 J_{\mathbb{I}}((E_z)_h, (F_z)_h) dh dz. \quad (10)$$

153 We remark that $J_{\mathbb{I}}((E_z)_h, (F_z)_h) = \frac{V((E_z)_h \cap (F_z)_h)}{V((E_z)_h)} \frac{V((F_z)_h)}{V((E_z)_h \cup (F_z)_h)} = \sigma_{\cap}((E_z)_h, (F_z)_h) \sigma_{\cup}((F_z)_h, (E_z)_h)$.

154 IV. AN INTERACTIVE FUZZY LATTICE REASONING (FLR) NEURAL CLASSIFIER

155 The flrFAM classifier is a single hidden layer neural architecture, inspired from the biologically mo-
 156 tivated adaptive resonance theory [16] based on reasoning techniques [13]. This section proposes an

157 enhancement of the flrFAM classifier in [16]. The latter was described by four algorithms: for clustering,
 158 for training (Structure Identification subphase), for training (Parameter Optimization subphase) and for
 159 testing. The difference between the algorithms employed here and the algorithms in [16] is that a neuron
 160 activation function $\alpha : \mathbb{F}_g^N \times \mathbb{F}_g^N \rightarrow [0, 1]$ here may be either a similarity measure or an inclusion measure
 161 function rather than the inclusion measure function $\sigma : \mathbb{I}_1^N \times \mathbb{I}_1^N \rightarrow [0, 1]$ in [16]. Hence, here we compute
 162 with distributions defined on a neighborhood rather than with the neighborhood alone.

163 Given $\mathbf{X} = (X_1, \dots, X_N)$, $\mathbf{W} = (W_1, \dots, W_N) \in \mathbb{F}_g^N$, an activation function $\alpha : \mathbb{F}_g^N \times \mathbb{F}_g^N \rightarrow [0, 1]$
 164 is computed by the convex combination $\alpha(\mathbf{X}, \mathbf{W}) = \lambda_1 \alpha_1(X_1, W_1) + \dots + \lambda_N \alpha_N(X_N, W_N)$, where
 165 $\alpha_i : \mathbb{F}_g \times \mathbb{F}_g \rightarrow [0, 1]$, $i \in \{1, \dots, N\}$, is an activation function in the lattice (\mathbb{F}_g, \preceq) . In particular, first,
 166 the activation function α_i can be an inclusion measure given by equation (8); therefore, in this case,
 167 the activation function $\sigma_{\mathbb{F}_g}(\mathbf{X}, \mathbf{W})$ filters h -level-wise an input datum $\mathbf{X} \in \mathbb{F}_g^N$ “bottom-up”. Second,
 168 the activation function α_i can be the extended Jaccard similarity measure given by equation (10); hence,
 169 in this case, the activation function $J_{\mathbb{F}_g}(\mathbf{X}, \mathbf{W})$ simultaneously filters h -level-wise both an input datum
 170 $\mathbf{X} \in \mathbb{F}_g^N$ “bottom-up” and it filters a class code $\mathbf{W} \in \mathbb{F}_g^N$ “top-down” as indicated in the remark following
 171 equation (10).

172 The flrFAM algorithm here was inspired from Active Learning [19]. Nevertheless, active learning
 173 requires human intervention. We improved on active learning by assuming a “bottom-up”-“top-down”
 174 interplay between the training data and the class (learned) codes as it was explained above. In particular,
 175 a function $\sigma_{\mathbb{F}_g}(\mathbf{W}_J, \mathbf{X}_i)$ always filters h -level-wise $\mathbf{W}_J \in \mathbb{F}_g^N$ “top-down”. In conclusion, $\mathbf{W}_J \curlywedge \mathbf{X}_i$ may
 176 conditionally replace \mathbf{W}_J depending on the (diagonal) size of $\mathbf{W}_J \curlywedge \mathbf{X}_i$ [12]. The capacity of the flrFAM
 177 classifier for generalization is demonstrated by the success rate S_{tst} on the testing dataset. An N -tuple of
 178 INs (granule) induced by the flrFAM classifier is interpreted as decision-making knowledge (i.e., a rule)
 179 induced from the data [15], [16], [21], [22].

180 V. THE IMAGE PATTERN RECOGNITION PROBLEM AND ITS DATA REPRESENTATION

181 This section demonstrates the capacity of our proposed techniques in image pattern recognition applica-
 182 tions. The latter were selected due to the vast number of images generated globally, especially from mobile
 183 devices; hence, automated image learning as well as image pattern recognition is motivated, interesting
 184 as well as timely.

185 A. Data Preprocessing

186 We carried out the following three information processing tasks: #1. Image Acquisition, #2. Pattern
 187 Localization, and #3. Feature Extraction. Note that, typically, an image is represented in the literature as
 188 an N -dimensional point in the Euclidean space \mathbb{R}^N by extracting features such as wavelet features, facial
 189 attributes, Gabor features, Zernike moments, etc [1], [16].

190 This paper retains a basic Feature Extraction employed elsewhere [16], [22], [24], [26]; that is, a
 191 population of numerical features is induced from an image to be learned/recognized. In particular, we
 192 induced orthogonal moments as well as other features due to their practical effectiveness [16], [22],
 193 [26]. Then, a distribution of features is “meta-represented” by an IN [24] induced by algorithm CALCIN
 194 [13]. A recent work [27] has demonstrated specific advantages for an IN meta-representation including a
 195 significant dimensionality reduction as well as a superior pattern recognition performance.

196 B. Image Pattern Representation

197 Recall that a population of features, which are induced from an image pattern, can be represented by a
 198 (T1) IN. This section investigates the representation of a class by a 3-D T1 IN (or a 3-D T2 IN) toward
 199 representing the distribution of T1 INs used for inducing it.

200 For example, consider the seventeen trivial T2 INs $[C_i, C_i], i \in \{1, \dots, 17\}$ in Fig.2(a). Fig.2(b) displays
 201 the corresponding lattice join $\bigvee_{i \in I} [C_i, C_i] = [\bigwedge_{i \in I} C_i, \bigvee_{i \in I} C_i], I = \{1, \dots, 17\}$. Note that any inclusion measure
 202 function $\sigma : \mathbb{F}_2 \times \mathbb{F}_2 \rightarrow [0, 1]$ results in $\sigma([C_i, C_i], [\bigwedge_{j \in I} C_j, \bigvee_{j \in I} C_j]) = 1, i \in I = \{1, \dots, 17\}$ according
 203 to Definition 2.1. The lattice join $\bigvee_{i \in I} [C_i, C_i] = [\bigwedge_{j \in I} C_j, \bigvee_{j \in I} C_j]$ is a (2-D) T2 IN whose lower membership
 204 function $\bigwedge_{j \in I} C_j$ has height $hgt(\bigwedge_{j \in I} C_j) = 0.6471$ and whose upper membership function $\bigvee_{j \in I} C_j$ has height
 205 $hgt(\bigvee_{j \in I} C_j) = 1$, as shown in Fig.2(b).

206 A disadvantage of a 2-D T2 IN is that it does not retain any information regarding the distribution of
 207 INs used to induce it. We will try to turn the aforementioned disadvantage into an advantage by inducing
 208 an “ h -secondary membership functions” as explained in Fig.3(a), where such functions will be induced
 209 at $h = 0.135$ and $h = 1$, respectively. Fig.3(b) displays two h -secondary membership functions along
 210 the line through $h = 0.135$, with supports $[2.65, 3.86]$ and $[6.3, 7.3]$, respectively; furthermore, Fig.3(c)
 211 displays one h -secondary membership function along the line through $h = 1$, with support $[4.6, 5.9]$.

212 Fig.4(a) displays the 3-D surface, which is induced from all the h -secondary membership functions,
 213 truncated by a plane through $z = 0.3$ parallel to the $x - h$ plane. By definition, a zSlice is the intersection

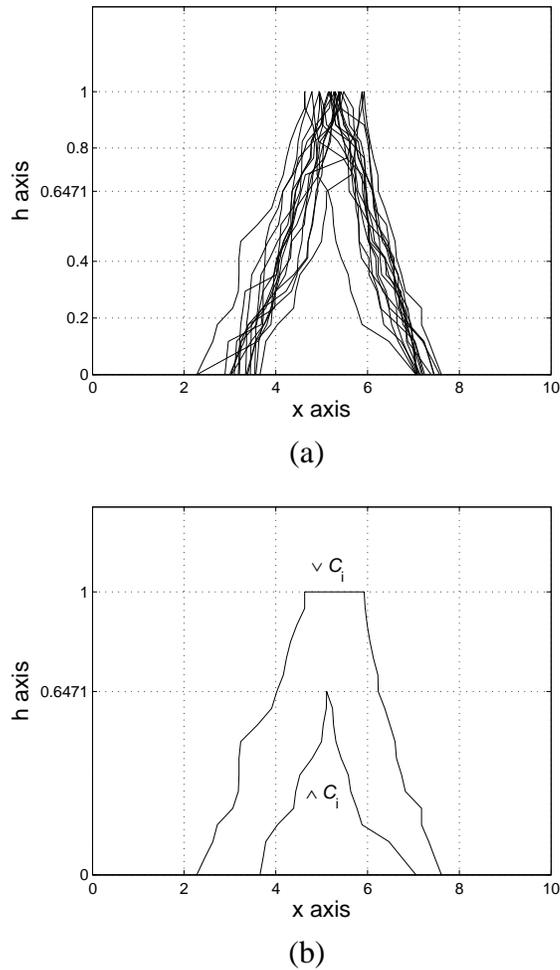
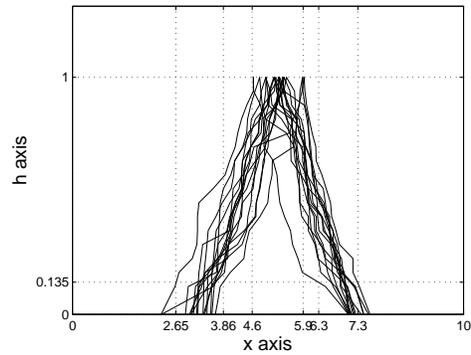
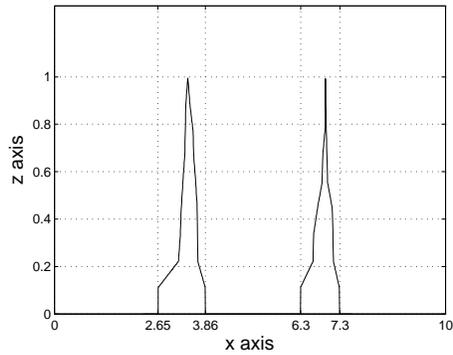


Fig. 2. (a) Seventeen trivial T2 INs $[C_i, C_i], i \in \{1, \dots, 17\}$ are displayed in their membership-function-representation. (b) The lattice join $\bigvee_{i \in I} [C_i, C_i] = [^{\wedge}_{i \in I} C_i, ^{\vee}_{i \in I} C_i]$, where $I = \{1, \dots, 17\}$.

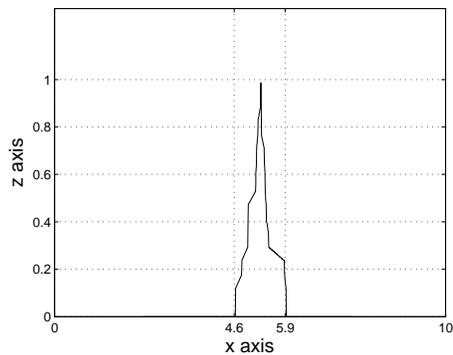
214 of the latter surface with a plane through $z \in [0, 1]$ parallel to the $x - h$ plane. By construction, a zSlice
 215 includes two functions, namely *primary membership functions*, defined by the ends of the supports of
 216 all the h -secondary membership functions on a zSlice. For example, the zSlice for $z = 0$ of the surface
 217 calculated from the INs in Fig.2(a) is the (2-D) T2 IN shown in Fig.2(b). Fig.4(b) displays the two
 218 primary membership functions on the zSlice shown in Fig.4(a) for $z = 0.3$. The (truncated) surface
 219 shown in Fig.4(a) is a 3-D T2 IN; whereas, the “T2 INs” shown in Fig.1 are 2-D T2 INs. Recall that
 220 the previously defined “(T1) INs” are alternatively called 2-D (T1) INs. Likewise, 3-D (T1) INs can be
 221 induced by computing h -secondary membership functions as detailed above.



(a)



(a)



(b)

Fig. 3. (a) h -secondary membership functions will be induced at $h = 0.135$ and $h = 1$, respectively. (b) Two h -secondary membership functions at $h = 0.135$ with supports $[2.65, 3.86]$ and $[6.3, 7.3]$, respectively. (c) One h -secondary membership function at $h = 1$ with support $[4.6, 5.9]$.

222

VI. EXPERIMENTS AND RESULTS

223 In this section we provide experimental evidence regarding the capacity of our proposed techniques in
 224 image pattern recognition applications. More specifically, we have dealt with image pattern recognition
 225 as a classification problem as explained below.

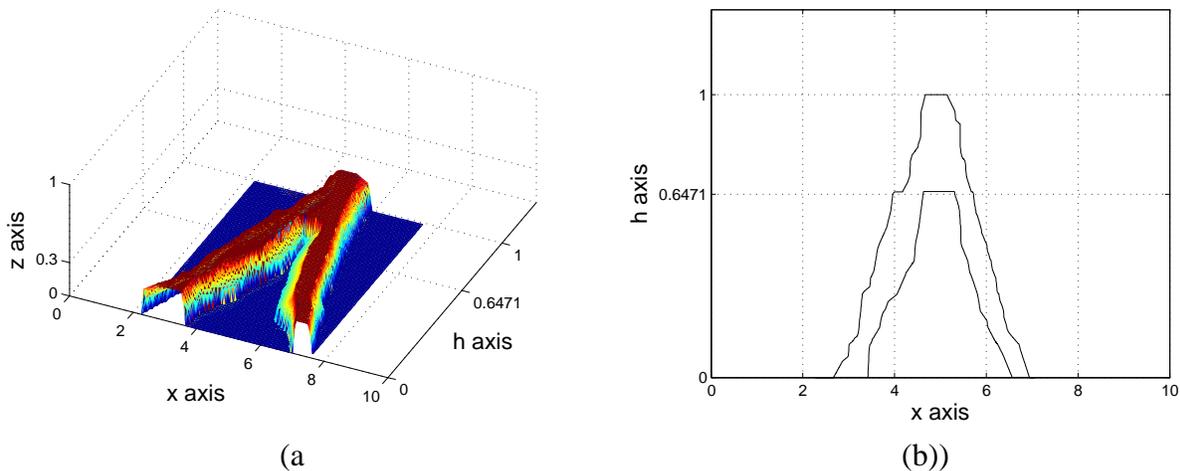


Fig. 4. (a) A 3-dimensional surface computed from the h -secondary membership functions of the INs in Fig.2(a), truncated (the surface) by a plane through $z = 0.3$ parallel to the $x - h$ plane. (b) The two primary membership functions on the zSlice of Fig.4(a) (for $z = 0.3$).

226 A. Benchmark Datasets and Feature Extraction

227 We have employed the following four benchmark datasets.

228 1) YALE dataset [30]: It regards face recognition. It contains 165 (8-bit) images (320×243 pixels each)
 229 of 15 individuals (i.e., classes). More specifically, there are 11 images per subject, one per different facial
 230 expression or configuration: center-light, w/glasses, happy, left-light, w/no glasses, normal, right-light, sad,
 231 sleepy, surprised, and wink. In order to remove irrelevant image content, the images were preprocessed
 232 by the Viola-Jones face detector followed by ellipse masking as described in [16]. The resulting localized
 233 faces were cropped to a fixed size of 32×32 pixels each.

234 2) TERRAVIC dataset [11]: It regards infrared face recognition. It contains 24, 508 images of 20 persons
 235 under different conditions such as front, left and right poses, indoor/outdoor environments with glasses
 236 and/or hat accessories; each image has an 8-bit, 320×240 pixels size. We used 70 images per person for
 237 the first 10 persons (i.e., classes) as described in [26].

238 3) JAFFE dataset [18]: It regards facial expression recognition. It contains 213 frontal images (256×256
 239 pixels each) of 10 different persons corresponding to 7 common human facial expressions (i.e., classes),
 240 namely neutral (30), angry (30), disgusted (29), fear (32), happy (31), sad (31), and surprise (30) regarding
 241 Japanese female subjects, where a number within parentheses indicates the number of images available
 242 per facial expression. In order to remove irrelevant image content, the images were preprocessed as in
 243 the YALE dataset above. In conclusion, face images of 160×160 pixels each were produced.

244 4) TRIESCH I dataset [28]: It regards hand posture recognition. It contains 8-bit images (128×128

TABLE 1
CHARACTERISTICS OF THE IMAGE DATASETS USED IN 10-FOLD CROSS-VALIDATION EXPERIMENTS.

| DATASET NAME | FEATURE TYPE (#FEATURES) | #INSTANCES | #TRAINING DATA PER FOLD | #TESTING DATA PER FOLD | #CLASSES |
|--------------|-----------------------------|------------|----------------------------|---------------------------|----------|
| YALE | LBP (59) | 165 | 149 | 16 | 15 |
| TERRAVIC | ZMs (16) | 700 | 630 | 70 | 10 |
| JAFFE | dHMs (16) | 213 | 192 | 21 | 7 |
| TRIESCH I | HOG (9) | 240 | 216 | 24 | 10 |

pixels each) of 10 hand postures (i.e., classes) regarding 24 persons in dark background.

It is understood that none of the above mentioned datasets is “big (data)”; nevertheless, any of the above datasets is big enough for the objectives here, where a large number of experiments were carried out toward comparing various classifiers.

We extracted six types of features per image. More specifically, we computed four different families of orthogonal moments including Zernike (ZMs), Gaussian-Hermite (GHMs), Tchebichef (TMs) and dual Hahn (dHMs) moments [23]; the order of each moment family was selected such that a 16-dimensional vector was produced. Another two types of features, popular in face recognition applications, were extracted, namely the Local Binary Pattern (LBP) and Histogram of Oriented Gradient (HOG) [24]. Regarding LBP, uniform patterns of $(R, N) = (1, 8)$ regions were computed. The vector length for LBP and HOG was 59 and 9, respectively.

Table 1 summarizes the characteristics of the image datasets used in our 10-fold cross-validation experiments. More specifically, the first column in Table 1 indicates the type of (image) feature that produced the best classification results for a dataset as well as the corresponding number of input features. For instance, for the YALE dataset, the best classification results were obtained for the 59 LBP input features, etc. The remaining columns in Table 1 display the number of instances (i.e., the total number of images used), the number of training data (per fold), the number of testing data (per fold), and the number of classes.

B. Experimental Setup

We employed ten traditional classifiers including three versions of the Minimum Distance Classifier (MDC) corresponding to the Chi Square (χ^2), Euclidean and Manhattan distances, respectively – An MDC classifier here engaged “mean feature vectors” [24]. In addition, we employed a kNN (k=1), a Naïve-Bayes, an RBF ELM, a three-layer feedforward backpropagation Neural Network, and three types of Support Vector Machines (SVMs) including linear, polynomial (2^{nd} order) and RBF, respectively – The

269 Neural Network dimensions were $(no.features) \times (no.features) \times (no.classes)$. Both RBF SVM and
 270 RBF ELM [7] used a pair (C, γ) of tunable parameters computed optimally by the grid search method [8].
 271 In conclusion, the pairs $(C, \gamma) = (2^5, 2^{-10})$ and $(C, \gamma) = (2^{12}, 2^4)$ were calculated and used for RBF SVM
 272 and RBF ELM, respectively, for all datasets. We also employed flrFAM classifiers as explained below.
 273 An flrFAM classifier processed INs induced from vectors of features, whereas an alternative classifier
 274 processed the corresponding vectors of features instead.

275 An flrFAM classifier represented a class by one N -tuple IN. In particular, we used two different class
 276 representations, namely per Feature (pF) and per Feature Vector (pFV), respectively [24] as follows. First,
 277 regarding pF, a T1 IN was induced from all values of a feature (i.e., dimension) in a class; second,
 278 regarding pFV, a T2 IN was induced from all T1 INs in a class, where one T1 IN was representing
 279 an image. Hence, the pF represented a class by one $(no.features)$ -tuple of T1 INs, whereas the pFV
 280 represented a class by one T2 IN. Note that a T1 IN was either an interval in 2-D (thus resulting in a
 281 class representation by a hyperbox) or it could be a 3-D T1 IN; the former (hyperbox) IN representation
 282 was pursued by a “2-D T1 (interval) flrFAM” architecture, whereas the latter was pursued by a “3-D T1
 283 flrFAM” architecture. Likewise, a T2 IN could be either a “2-D T2 (interval) IN” representation, pursued
 284 by a “2-D T2 (interval) flrFAM” architecture, or a “3-D T2 IN” one pursued by a “3-D T2 flrFAM”
 285 architecture. In all cases, we employed the IN interval-representation with $L = 32$ [13]. The activation
 286 function employed by an flrFAM classifier was based on either $\sigma_{\cup}(\cdot, \cdot)$ or $J_{\mathbb{I}}(\cdot, \cdot)$.

287 For every classifier, on every dataset, we carried out a “10-fold cross-validation” computational exper-
 288 iment. More specifically, a dataset was partitioned in ten parts; nine-tenths of the dataset were used for
 289 training, whereas the remaining one-tenth was used for testing a classifier. In turn, all tenths of the dataset
 290 were used for testing. Care was taken so that all classes were represented fairly in both the training data
 291 and the testing data. The same training/testing data were used by all classifiers. As generalization rate
 292 we define the percentage (%) of the testing dataset classified correctly. For a “10-fold cross-validation”
 293 computational experiment we recorded both the minimum and the maximum generalization rates in 10
 294 computational experiments as well as the corresponding average (ave) and standard deviation (std).

295 Regarding an flrFAM classifier we employed 10% of the training data for validation toward optimal
 296 parameter estimation [16]. More specifically, parameter optimization was pursued by a Genetic Algorithm
 297 (GA) such that the phenotype of an individual (flrFAM classifier) consisted of specific values for the
 298 two parameters $\lambda \in \mathbb{R}_0^+$ and $\mu \in \mathbb{R}$ of two functions, i.e. the (strictly increasing) sigmoid function

TABLE 2
YALE DATASET: PERCENTAGE (%) GENERALIZATION RATE STATISTICS IN 10 COMPUTATIONAL EXPERIMENTS BY SEVERAL CLASSIFIERS (LBP FEATURE)

| CLASSIFIER NAME | [MIN, MAX] | AVE (STD) |
|---------------------------------------|-----------------|---------------|
| 01. MDC (Chisquare) | [40.00, 80.00] | 56.67 (11.44) |
| 02. MDC (Euclidean) | [26.67, 73.33] | 42.67 (12.65) |
| 03. MDC (Manhattan) | [33.33, 80.00] | 53.33 (12.57) |
| 04. kNN (k=1) | [20.00, 53.33] | 38.67 (10.80) |
| 05. Naïve-Bayes | [33.33, 60.00] | 46.00 (7.98) |
| 06. RBF ELM | [40.00, 73.33] | 60.67 (9.66) |
| 07. Neural Network (backprop) | [6.67, 20.00] | 12.00 (4.22) |
| 08. Linear SVM | [20.00, 53.33] | 36.67 (10.06) |
| 09. Polynomial SVM | [20.00, 33.33] | 25.33 (5.26) |
| 10. RBF SVM | [33.33, 66.67] | 48.00 (10.80) |
| 11. 2-D T1 flrFAM (σ_{\cup}) | [20.00, 46.67] | 35.33 (9.45) |
| 12. 2-D T1 flrFAM ($J_{\bar{1}}$) | [6.67, 33.33] | 22.00 (7.73) |
| 13. 3-D T1 flrFAM (σ_{\cup}) | [40.00, 73.33] | 58.00 (11.78) |
| 14. 3-D T1 flrFAM ($J_{\bar{1}}$) | [40.00, 73.33] | 53.33 (12.96) |

299 $v_s(x; \lambda, \mu) = 1 / (1 + e^{-\lambda(x-\mu)})$ and the (strictly decreasing) function $\theta(x; \mu) = 2\mu - x$ as described in
300 Step-1 of section II; an additional parameter was the baseline vigilance $\bar{\rho}_a$ [16]. Hence, a total number
301 of three parameters per feature were binary-encoded in the chromosome of an individual. For both an
302 inclusion measure and a Jaccard coefficient we used a convex combination with $\lambda_1 = \dots = \lambda_N = \frac{1}{N}$.

303 To avoid a combinatorial explosion of the number of Tables with results presented in this paper, we
304 selected the “best” feature type per benchmark dataset as follows. For each benchmark dataset, for each
305 of the aforementioned ten traditional classifiers, we carried out a “10-fold cross-validation” computational
306 experiment per feature type. Hence, for each benchmark dataset we recorded six Tables (i.e., one Table per
307 feature type) including the generalization rate statistics of the ten traditional classifiers. Then, we selected
308 the “best” feature type per benchmark dataset, that is the one that produced the highest overall classification
309 results on the testing data. The “best” statistics of the aforementioned ten traditional classifiers, for the
310 YALE dataset, are displayed in Table 2.

311 C. Computational Experiments and Results

312 We normalized the data by transforming them linearly to the unit interval $[0, 1]$. In the following, for
313 lack of space, we display detailed generalization rate statistics regarding solely the YALE dataset.

314 1) *Experiments with the YALE dataset:* The LBP was the best feature selected as explained above. Table
315 2 displays the generalization rate statistics of the classifiers employed in this rather difficult classification
316 problem. The 3-D T1 flrFAM classifiers on the average performed as good as or better than most classifiers,
317 whereas the 2-D T1 (interval) flrFAM classifiers on the average performed around medium. Typically, an
318 inclusion measure σ_{\cup} produced better results than a Jaccard similarity measure $J_{\bar{1}}$.

TABLE 3
 AREA UNDER THE CURVE (AUC) STATISTICS “(AVERAGE, STANDARD DEVIATION)” IN 10 COMPUTATIONAL EXPERIMENTS BY
 SEVERAL CLASSIFIERS ON ALL DATASETS

| CLASSIFIER NAME | DATASET NAME | | | |
|---------------------------------------|--------------|-------------|-------------|-------------|
| | YALE | TERRAVIC | JAFFE | TRIESCH I |
| 01. MDC (Chisquare) | 0.73 (0.11) | 0.99 (0.02) | 0.68 (0.13) | 0.95 (0.03) |
| 02. MDC (Euclidean) | 0.72 (0.11) | 1.00 (0.01) | 0.64 (0.12) | 0.95 (0.03) |
| 03. MDC (Manhattan) | 0.73 (0.11) | 1.00 (0.01) | 0.65 (0.10) | 0.94 (0.03) |
| 04. kNN (k=1) | 0.72 (0.10) | 1.00 (0.00) | 0.87 (0.07) | 0.94 (0.03) |
| 05. Naïve-Bayes | 0.78 (0.12) | 1.00 (0.00) | 0.81 (0.10) | 0.97 (0.03) |
| 06. RBF ELM | 0.90 (0.08) | 0.83 (0.24) | 0.80 (0.18) | 0.92 (0.11) |
| 07. Neural Network (backprop) | 0.54 (0.09) | 0.93 (0.05) | 0.67 (0.09) | 0.70 (0.09) |
| 08. Linear SVM | 0.86 (0.13) | 1.00 (0.00) | 0.84 (0.07) | 0.88 (0.06) |
| 09. Polynomial SVM | 0.76 (0.16) | 1.00 (0.00) | 0.86 (0.04) | 0.88 (0.07) |
| 10. RBF SVM | 0.87 (0.10) | 0.95 (0.08) | 0.76 (0.17) | 0.92 (0.09) |
| 11. 2-D T1 flrFAM (σ_{\cup}) | 0.72 (0.16) | 1.00 (0.01) | 0.71 (0.10) | 0.95 (0.03) |
| 12. 2-D T1 flrFAM (J_{\cap}) | 0.72 (0.17) | 1.00 (0.01) | 0.73 (0.13) | 0.95 (0.03) |
| 13. 3-D T1 flrFAM (σ_{\cup}) | 0.75 (0.15) | 0.99 (0.04) | 0.67 (0.12) | 0.96 (0.03) |
| 14. 3-D T1 flrFAM (J_{\cap}) | 0.75 (0.15) | 0.99 (0.03) | 0.65 (0.12) | 0.96 (0.02) |

319 2) *Experiments with the TERRAVIC dataset:* The ZMs was the best feature selected as explained above.
 320 Any T1 flrFAM (with σ_{\cup}) classifier always gave the maximum generalization rate of 100%. Moreover,
 321 a 3-D T1 flrFAM classifier (with J_{\cap}) performed clearly better than the corresponding 2-D T1 (interval)
 322 flrFAM classifier (with J_{\cap}).

323 3) *Experiments with the JAFFE dataset:* The dHMs was the best feature selected as explained above.
 324 All flrFAM classifiers performed rather poorly. An inclusion measure σ_{\cup} typically produced better results
 325 than a Jaccard similarity measure J_{\cap} .

326 4) *Experiments with the TRIESCH I dataset:* The HOG was the best feature selected as explained
 327 above. An flrFAM classifier on the average performed as good as or better than most classifiers. For
 328 the 2-D T1 (interval) flrFAM classifier, an inclusion measure σ_{\cup} on the average produced clearly larger
 329 generalization rates than a Jaccard similarity measure J_{\cap} ; it was vice versa for the 3-D T1 flrFAM classifier.

330 All computational experiments, for all benchmark datasets, with a T2 flrFAM classifier produced a
 331 generalization rate around 5% – 15% less than the generalization rate of its corresponding T1 flrFAM
 332 classifier. On the average, a 3-D T2 flrFAM classifier clearly outperformed its 2-D T2 (interval) counterpart.

333 In order to show the significance of the results for each classifier we carried out Receiver Operating
 334 Characteristics (ROC) curve analysis [16]. For lack of space, we only display the corresponding Area
 335 Under Curve (AUC) statistics (i.e., average and standard deviation) in Table 3 for all classifiers and
 336 all datasets in “10-fold cross-validation” computational experiments – Recall that the closer a classifier’s
 337 AUC is to number 1 the better the classifier performs. Table 3 confirms comparatively the high confidence
 338 regarding the good performance of a 3-D T1 flrFAM classifier for all datasets but the JAFFE dataset.

339 *D. Discussion of the Results*

340 In this section we studied experimentally the performance of two different image representations,
 341 namely pF and pFV, in image pattern classification applications using a number of flrFAM classifiers
 342 applicable on INs induced from vectors of (image) features; alternatively, traditional classifiers were
 343 applied comparatively on the aforementioned vectors of features. In the context of this work, we did
 344 implement all the classifiers in order to compare them fairly on the same data for training/testing.

345 The pF image representation engaged one (*no.features*)-tuple of T1 INs per class, whereas the
 346 pFV image representation engaged one T2 IN per class. A pF (respectively, pFV) image representation
 347 was processed by a T1 (respectively, T2) flrFAM classifier. For any flrFAM classifier we tested all
 348 combinations of (2-D interval)/3-D INs with $\sigma_{\cup}/J_{\mathbb{I}}$ functions. On the one hand, T1 flrFAM classifiers
 349 typically demonstrated a competitive image pattern recognition capacity compared to traditional classifiers.
 350 On the other hand, the T2 flrFAM classifiers on the average performed clearly (5% – 15%) less than their
 351 corresponding T1 counterparts thus confirming previous work [24]; that is, this work confirmed that the pF
 352 is a better image representation than the pFV representation. Our explanation is that the pFV representation
 353 mingles features from different data dimensions thus deteriorating their discriminative power.

354 Based on recorded experimental evidence, we confirmed that a 3-D T1 flrFAM classifier clearly
 355 outperformed its 2-D T1 (interval) counterpart. Our explanation is that a 3-D T1 IN represents more
 356 data statistics. More specifically, given that an IN represents a distribution, a 3-D T1 IN represents a
 357 distribution of (image features) distributions. Likewise, for the same reason, the (recorded) generalization
 358 rates for a 3-D T2 flrFAM classifier were clearly better than for its 2-D T2 (interval) counterpart.

359 An inclusion measure $\sigma_{\cup}(\cdot, \cdot)$, in general, produced better generalization rates than a Jaccard similarity
 360 measure $J_{\mathbb{I}}(\cdot, \cdot)$. The latter was attributed to the fact that $J_{\mathbb{I}}(A, B)$ equals zero for nonoverlapping intervals
 361 A and B . In additional experiments we confirmed that the inclusion measure $\sigma_{\cap}(A, B)$ produced very
 362 similar results to the ones reported above for the $J_{\mathbb{I}}(A, B)$, for the same reason.

363 The average generalization rate of a 3-D T1 flrFAM classifier was not (statistically) significantly different
 364 from the corresponding average of the best of ten traditional classifiers in three benchmark image pattern
 365 recognition problems, namely YALE, TERRAVIC and TRIESCH I. Only for the JAFFE benchmark, the
 366 performance of any 3-D T1 flrFAM classifier clearly lagged behind the performance of three traditional
 367 classifiers, namely kNN (k=1), RBF ELM and (polynomial) SVM. We point out that our work in [16]
 368 has reported a competitive performance of an flrFAM classifier with the performance of a kNN (k=1)

369 classifier due to two reasons: first, a flrFAM classifier in [16] employed 6-tuple INs induced from six
 370 different types of features (moments), concatenated and, second, a different FLR classifier in [16] induced
 371 more than one (N -tuple of INs) granule per class; whereas, here we used only one type of features as
 372 well as only one (N -tuple of INs) granule per class.

373 There is one more reason for characterizing “remarkable” the capacity of a 3-D T1 flrFAM classifier
 374 here for generalization. More specifically, recall that no flrFAM classifier was used for selecting the
 375 “best” feature type per benchmark dataset. Hence, the generalization rate of an flrFAM classifier here
 376 truly demonstrates its capacity for generalization.

377 Regarding the learning (time) complexity note that an flrFAM scheme involves both IN induction and
 378 parameter optimization. More specifically, first, IN induction typically requires negligible time. Second,
 379 parameter optimization typically requires substantial time due to the application of stochastic optimization
 380 techniques including a GA. However, note that previous work has demonstrated that GA application can
 381 be substantially accelerated by a parallel GA implementation [22].

382 Compared to the aforementioned traditional classifiers, an flrFAM scheme here was only faster than the
 383 (backprop) neural network. Nevertheless, recall that the performance of some traditional classifiers such
 384 as the RBF ELM/SVM depends critically on certain parameters (e.g., polynomial order, C , γ , etc) that
 385 can be tuned by grid search techniques [8]. We experimentally confirmed that a grid search applied to an
 386 flrFAM scheme here, results in a learning (time) complexity comparable to the learning (time) complexity
 387 of a traditional classifier. We also remark that an flrFAM testing (time) complexity is comparable to the
 388 testing (time) complexity of a traditional classifier.

389

VII. CONCLUSION

390 This work has introduced a mathematically sound extension of the flrFAM neural classifier to the
 391 (non-Euclidean) space of T1/T2 INs based on novel similarity/inclusion measure functions. A new type
 392 of learning was pursued, that is learning distributions of (image features) distributions. Comparative
 393 computational experiments regarding image pattern recognition have demonstrated the viability of the
 394 proposed techniques. The good generalizability of the proposed classification schemes was attributed to
 395 the synergy of INs, which can represent all order data statistics [14], with image feature descriptors.

396 The T1/T2 N -tuple of INs (granules) induced by an flrFAM classifier were interpreted as rules that
 397 may represent large numbers of data. In this sense, an flrFAM classifier could be valuable for data
 398 mining abstract representations in big data and deep learning applications [1]. Apart from interpreted

399 probabilistically, an IN can also be interpreted possibilistically [21]. Hence, based on the isomorphism
 400 between T1/T2 INs and T1/T2 fuzzy sets, the mathematical tools proposed here are straightforward
 401 applicable to T2 fuzzy sets. The latter is significant due to the fact that within the fuzzy sets and systems
 402 community its “(linguistic) T2 component” currently clearly leads the way [20].

403 An advantageous ELM extension from space (\mathbb{R}^N, \leq) to a cone (\mathbb{F}^N, \preceq) of INs is feasible based on
 404 the fact that the algebraic operations of ELMs [2], [10] can be carried out in cones of T1/T2 INs [17].
 405 Therefore, potential future work includes an extension of ELMs to a cone of INs with substantial expected
 406 benefits regarding the data processing speed. More specifically, even though an IN-based scheme (such
 407 as an flrFAM) processes its data (INs) slower than an ELM processes its own data (vectors), an IN-based
 408 scheme can improve the overall data processing speed by representing thousands/millions (or more) data
 409 by a single IN. In the aforementioned manner, an ELM extension to a cone of INs is promising toward
 410 further improving the data processing speed in certain big data applications.

411 Future work extensions of the flrFAM classifier will seek to optimize the number of (N -tuple of INs)
 412 granules induced per class as well as the induction of INs.

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